PTO/SB/21 (12-97)
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE ection of information unless it displays a valid OMB control number

/633,409

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TRACEMENT		Application Number 10/633,409			
TRANSMITTAL		Filing Date	August 1, 20	003	
FORM  (to be used for all correspondence after initial initial)		First Named Inventor Gabor DIOSI,		Josef HAUPT and Martin BREHMER	
		Group Art Unit 3682			
		Examiner Name Fax: (703) 87		72-93	
Total No. of Pages in this Su	ubmission:	Attorney Docket Number	ZAHFRI P539US		
		ENCLOSURES (check all ti	hat apply)		
☐ Fee Transmittal Form		☐ Assignment papers (for an Application)		☐ After Allowance Communication to Group	
☐ Fee attached ☐ Amendment/Response ☐ After Final ☐ Affidavits/declaration(s) ☐ Extension of Time Request ☐ Express Abandonment Request ☐ Information Disclosure Statement ☐ Certified Copy of Priority ☐ Document(s) ☐ Response to Missing Part/s ☐ Incomplete Application		<ul> <li>□ Drawing(s)</li> <li>□ Licensing-related Papers</li> <li>□ Petition Routing Slip (PTO/SB/69) and Accompanying Petition</li> <li>□ To Convert a Provisional Petition</li> <li>□ Power of Attorney, Revocation Change of Correspondence Address</li> <li>□ Terminal Disclaimer</li> <li>□ Small Entity Statement</li> <li>□ Request for Refund</li> </ul>		<ul> <li>□ Appeal Communication to Board of Appeals and Interferences</li> <li>□ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)</li> <li>□ Proprietary Information</li> <li>□ Status Letter</li> <li>■ Additional Enclosure(s) (please identify below):</li> <li>Return Postcard Form PTO/SB/08a - 2 pgs.</li> <li>31 Citations</li> </ul>	
Response to under 37 CFR	3 1.52 or 1.53				
	SIGNA	ATURE OF APPLICANT, ATTO	RNEY, OR AGE	ENT	
Firm or Individual Name	Michael J. Bujold DAVIS & BUJOLD, P.L.L.C. /			Reg. No. 32,018 CUSTOMER NO. 020210	
Signature	Mules Best				
Date	March 16, 200	5 '			
		CERTIFICATE OF MAI	LING		
I hereby certify that this class mail in an envelope 2005.	correspondence is addressed to: Co	being deposited with the Unit mmissioner for Patents, P.O.	ed States Post Box 1450, Ale	al Service with sufficient postage as first xandria, VA 22313-1450 on <u>March 16,</u>	
Type or printed name	Michael J. Bujold	~ ^ /	$\mathcal{A}$		
Signature		Mybel Rom	ele/	Date: March 16, 2005	

#### PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Gabor DIOSI, Josef HAUPT and Martin

BREHMER

Serial no. : 10/633,409 Filed : August 1, 2003

For : A MULTISTAGE AUTOMATIC TRANSMISSION

WITH THREE PLANETARY GEAR SETS

Group Art Unit : 3682

Examiner

Docket : ZAHFRI P539US

The Commissioner for Patents U.S. Patent & Trademark Office P. O. Box 1450 Alexandria, VA 22313-1450

### INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In connection with this matter, the Applicant hereby attaches United States Patent Office Form PTO/SB/08a and copies of the information listed in the enclosed PTO/SB/08a form, unless otherwise indicated on such Form.

The Applicant hereby requests consideration of the information attached hereto. In the event that a petition or an official fee is required for consideration of this art, please consider this to be the necessary petition and charge the associated petition fee to Deposit Account No. 04-0213.

The Applicant hereby requests consideration of the additional information, accompany this Statement, as the Applicant inadvertently failed to previously make this known prior art of record in the above identified application.

In the event that there are any fee deficiencies or additional fees are payable, please charge the same or credit any overpayment to our Deposit Account (Account No. 04-0213).

Respectfully submitted,

Michael J. Bujold, Reg. No. 32,018

Customer No. 020210

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PTO/SB/08a (08-03)

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Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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of

Sheet

1

Complete if Known				
Application Number	10/633,409			
Filing Date	August 1, 2003			
First Named Inventor	Gabor DIOSI et al.			
Art Unit	3682			
Examiner Name	_			
Attorney Docket Number	ZAHFRI P539US			

### **U.S. PATENT DOCUMENTS**

			U.S. PATEN	1 DOCOMENTS		
Examiner Initials*	Cite No.1	Document Number Number-Kind Code <sup>2</sup>	Publication Date MM-DD-YYYY	Name of Patentee Applicant of Cited Doo		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US-3,776,066	12/04/1973	PIRET		
	2	US-4,395,925	08/02/1983	GAUS		(Equiv. to DE-29 36 969)
	3	US-4,732,253	03/22/1998	HIRAMATSU et al		
	4	US-5,106,352	04/21/1992	LEPELLETIER		(Equiv. to EP-0 434 525)
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	7	US-6,139,463	10/31/2000	KASUYA et al.		
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	10	US-6,558,287 B2	05/06/2003	HAYABUCHI et al		(Equiv. to DE-102 13 820)
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Examiner Signature					Date Considered	

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Substitute for form 1449A/PTO			Complete if Known						
				Application Number 10/633		10/633,40	409		
INFORMATION DISCLOSURE			Filing Date		August 1, 2003				
STATEMENT BY APPLICANT				First Named Inventor		Gabor DIOSI et al.			
(Use as many sheets as necessary)			Art Unit		3682				
	·			Examiner Name					
Sheet	Sheet 2 of 2			Attorney Docket Number ZAHFRI		P539US			
		-	FOREIG	N PATENT	DOCUMENTS	I			
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code³ No.⁴ Kind Code⁵	Publication Date MM-DD-YYYY		Name of Pate Applicant of Cited		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sub>e</sub>	
	1	DE-29 36 969 A1	04/02/1981		GAUS	- 4	(Equiv. to US-4,395,925)		
	2	EP-0 434 525 A1	06/26/1991		LEPELLETIE	٦	(Equiv. to US-5,106,352)	~	
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	4	JP-2000240741	03/27/2001 KEN 04/19/2001 ZIEN		KIYOHARU e	t al.	Abstract	į	
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	12	JP-2002323098			KAZUMI		Abstract		
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	14	DE-101 62 888 A1			GUMPOLTSB	ERGER	Abstract		
•	15	DE-101 62 877 A1			GUMPOLTSB	ERGER	Abstract		
Examiner Signature						Date Considered			